

Date: \_\_\_\_\_

ABL AMC A/C No.									
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I/We the Undersigned being the registered holder(s)/Pledger of under mentioned Units of ABL \_\_\_\_\_ Fund, request you to record pledge/lien over the following Unit(s) in favour of pledge/lien holder(s) according to the provisions of the Trust Deed(s) and Offering Document(s) of the respective Fund(s).

**1. PLEDGER**

Name (Principal Account Holder)											
CNIC/Passport No. (In case of individual)											
Number of Unit(s) _____ Units in words _____											
<b>Certificates Issued:</b>	<input type="checkbox"/> No					<input type="checkbox"/> Yes (Certificate No. _____)					
<b>Types of Unit(s):</b>	<input type="checkbox"/> Growth Units					<input type="checkbox"/> Income Units					
If opted for income units, please tick one: <input type="checkbox"/> Flexible Plan <input type="checkbox"/> Fixed Plan (Rs. _____)											

**DECLARATION**

In making this request I/We, recog

- ? The registration of this Pledge/Lien places a responsibility on metoensure that for any reason whatsoever if Units are redeemed save any legal bar or Court requiring otherwise, the proceeds shall be paid to the Lien-Holder(s) or first named Joint Lien-Holder(s) according to the provisions of the Trust Deed(s) and Offering Document(s) of the relevant ABL Fund(s) accruing on such Units (hereinafter referred to as the Pledged Unit(s).
- ? The lien once registered shall be removed by the written authority of the party in whose favour the lien has been registered or through an order of the competent court.
- ? The unit(s) placed under Pledge/Lien will only be redeemed on submission of properly filled in and signed redemption application form by the Unit Holder(s)/Pledger/Authorized signatory(ies).
- ? Save any legal bar or Court order requiring otherwise, any dividends that are declared on the Pledged Unit(s) shall be paid to the order of the Unit Holder(s) Pledger(s) any Bonus Units that the Pledged Unit(s) are entitled to shall automatically be marked under the Lien of the Lien Holder(s).
- ? ABL AMC or the Trustee or the Registrar/Transfer Agent do not accept any responsibility for the validity of my/our placing of the Pledge Unit(s) under Pledge/Lien nor for any obligation or commitments undertaken by me/us in respect thereof.
- ? The Pledge/Lien on the pledged Units shall continue till such time it is released by the Pledge/Lien Holder(s) in writing.
- ? Any charges, duties, levies etc. applicable on such Pledge will be borne by the Pledger; and
- ? I/We am/are authorized to request for the Pledge of units which are in my/our/institution's name.

Sr. #	NAME	SIGNATURE & STAMP (Stamp in case of Institution only)	Sign Verified (for office use only)
i)			
ii)			
iii)			
iv)			

(For Mandatory Documents please see instructions overleaf)

**2. DETAILS OF PLEDGEE/LIEN HOLDER**

**Name of Pledgee/Lien Holder :** \_\_\_\_\_

**CNIC/Passport No :** \_\_\_\_\_  
(In case of individual)

**Address :** \_\_\_\_\_

**City & Country :** \_\_\_\_\_

**Phone No :** \_\_\_\_\_ **Fax No:** \_\_\_\_\_ **Email:** \_\_\_\_\_

Sr. #	Name of Joint Lien Holder	CNIC/PASSPORT NO.	Signature & Stamp (Stamp in case of Institution only)
i)			
ii)			
iii)			

**3. FOR OFFICE / DISTRIBUTOR'S USE ONLY**

		Transaction No.	Transaction Date
Distributor's/ Branch Code			
Branch Manager Staff No.			
Investment Consultant Staff No.			
Originator Staff No.			

**4. FOR REGISTRAR USE ONLY**

Form Received on (date)	Attachments/Sign verified by	Pledge marked by/on	Transaction ID	Authorized Signature

**PLEDGE FORM**  
(Individuals & Institutions)



## **Guidelines for Completing Pledge Form (ABL AMC-05)**

This Form is required from both Individual and Institutional Investors when they enter into any borrowing arrangement(s) with a lending Institution thereby pledging his/her/its Units.

Please complete the Pledge of Units Form (for Individuals and Institutions) in Block Letters using a ball pen.

### **INFORMATION ABOUT THE PLEDGER**

1. The Account Holder/Pledger would need to state his/her/Institution's Name and ABLAMC Account No. and CNIC / Passport No. (in case of Individual)
2. The Title of Account of the Principal Account Holder/Investor is required.

### **DOCUMENTS REQUIRED FOR PLEDGE**

Pledgor/Unit Holder(s) is/are required to attach the following attested documents.

1. Units Certificates, if issued.
2. Board Resolution authorizing Pledge (in case of other than individuals) or Power of attorney.
3. List of Authorized Signatures with Specimen Signatures of Pledgee.

Registrar/Transfer Agent will send an account statement exhibiting Units blocked in favour of Pledgee to the Pledgor as proof of units being pledged in favour of Pledgee.

### **OTHER INSTRUCTIONS**

As per the instructions given in respect of operation of account all the required Authorized Signatories (including Joint Holder(s) in case of Individual Investors) will have to sign this Form before submitting at any selected branch(s) of ABL AMC/Distributor Company (ies).

1. Photo Account holder is not entitled to Pledge his/her units.
2. It shall be the responsibility of the applicant to pay all stamp and other duties, taxes and processing charges in relation to the units pledged by him/her/it.